BEFORE THE BOARD OF MEDICAL EXAMINERS

IN THE STATE OF ARIZONA

In the Matter of

DALTON MCCLELLAND, M.D.

Holder of License No. **7586**For the Practice of Medicine
In the State of Arizona.

Case No. MD-96-0603

CONSENT AGREEMENT FOR PROBATION

By mutual agreement and understanding, between the Arizona Board of Medical Examiners ("Board") and Dalton McClelland, M.D. ("Respondent"), the parties agreed to the following disposition of this matter at the Board's public meeting on August 17, 2001.

- 1. Respondent acknowledges that he has read and understands this Consent Agreement and the stipulated Findings of Fact, Conclusions of Law and Order. Respondent acknowledges that he understands he has the right to consult with legal counsel regarding this matter and has done so or chooses not to do so.
- 2. Respondent understands that by entering into this Consent Agreement for the issuance of the foregoing Order, he voluntarily relinquishes any rights to a hearing or judicial review in state or federal court on the matters alleged, or to challenge this Consent Agreement and the Order in its entirety as issued by the Board, and waives any other cause of action related thereto or arising from said Order.
- 3. Respondent acknowledges and understands that this Consent Agreement and the Order will not become effective until approved by the Board and signed by its Executive Director.
- 4. All admissions made by Respondent are solely for final disposition of this matter and any subsequent related administrative proceedings or civil litigation involving

the Board and Respondent. Therefore, said admissions by Respondent are not intended or made for any other use, such as in the context of another state or federal government regulatory agency proceeding, civil or criminal court proceeding, in the State of Arizona or any other state or federal court.

- Respondent acknowledges and agrees that, although the Consent 5. Agreement has not yet been accepted by the Board and issued by the Executive Director, upon signing this agreement, and returning this document (or a copy thereof) to the Board's Executive Director, Respondent may not revoke his acceptance of the Consent Agreement and Order. Respondent may not make any modifications to the document. Any modifications to this original document are ineffective and void unless mutually approved by the parties.
- Respondent further understands that this Consent Agreement and Order, once approved and signed, shall constitute a public record document that may be publicly disseminated as a formal action of the Board.
- If any part of the Consent Agreement and Order is later declared void or 7. otherwise unenforceable, the remainder of the Order in its entirety shall remain in force and effect.

Calland mp Dated: 9/24/01 Dalton McClelland, M.D.

Reviewed and approved as to form by:

Craig Blakey, Attorney at Law

(Counsel for Dalton McClelland, M.D.)

Dated: _ \(\frac{9-21-0}{}

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FINDINGS OF FACT

- 1. The Board is the duly constituted authority for the regulation and control of the practice of allopathic medicine in the State of Arizona.
- 2. Respondent is the holder of license number 7586 for the practice of allopathic medicine in the State of Arizona.
- 3. The Board initiated case number MD-96-0603 upon receiving notification that Respondent was over prescribing Schedule II and III narcotics to patients for reasons other than therapeutic purposes.
- 4. From August 2, 1996 to August 8, 1996, Board staff conducted a pharmacy survey of twenty-two pharmacies within the Tucson area. The pharmacy survey revealed that from January 1, 1996 until July 31, 1996, Respondent prescribed 192 Schedule II and III narcotics. The pharmacy survey also revealed that Respondent prescribed eleven quarts of Hycodan syrup to patient S.K. during the same time period. Board staff also reviewed the records of eight other patients.
- 5. David Drachler, M.D., Board Medical Consultant, reviewed the eight patient records and concluded that some of the records contained poor documentation; over prescribed controlled substances; contained illegible handwriting, did not contain drug agreements; and failed to contain problem lists or lists of drugs used in treating the patients.
- 6. On March 15, 1997, the Board reviewed this matter. The Board voted to continue the investigation and ordered Board staff to review twenty additional patient charts.
- 7. After reviewing 20 to 25 charts, William Dunn, M.D., Board Medical Consultant, found seven charts to be questionable and concluded that in some of the charts there was poor documentation, over prescribing or prescribing controlled

substances for reasons other than accepted therapeutic purposes, no organization of patient charts, no list of patient problems and no referrals to proper consultants.

- 8. On September 20, 1999, Roderic Huber, M.D. ("Dr. Huber"), Board Medical Consultant, reviewed patient S.K. chart and noted that Respondent fell below the standard of care. Dr. Huber concluded Respondent over prescribed Hycodan cough syrup.
- 9. On February 27, 2001, Dr. Huber reviewed 21 additional patient charts, three of which indicated that Respondent's notes were of poor quality, making it difficult to determine the appropriateness of his prescribing. Dr. Huber noted that three of the charts suggested over prescribing of controlled substances.

CONCLUSIONS OF LAW

- The Board possesses jurisdiction over the subject matter hereof and over Respondent.
- 2. The conduct and circumstances described above in paragraphs 3 to 9 constitute unprofessional conduct pursuant to A.R.S. § 32-1401(25)(e)("[f]ailing or refusing to maintain adequate records on a patient").
 - 3. The conduct and circumstances described above in paragraphs 3 to 9 constitute unprofessional conduct pursuant to A.R.S. § 32-1401(25)(j)("[p]rescribing, dispensing or administering any controlled substance or prescription-only drug for other than accepted therapeutic purposes").

<u>ORDER</u>

IT IS HEREBY ORDERED THAT:

- 1. Respondent is placed on probation for one year with the following terms:
- (A) Respondent shall within one year of the effective date of this Order, obtain 20 hours of Board Staff pre-approved Continuing Medical Education (CME) in office management/charting and provide Board staff with satisfactory proof of attendance. The

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1	EXECUTED COPY of the foregoing-mailed by
2	Certified Mail this 12 day of October 2001 to:
3	Craig Blakey, Esq.
4	Olson, Jantsch, Bakker, Blakey, PA 7243 N. 16 th St.
5	Phoenix, AZ 85020-5203
6	EXECUTED COPY of the foregoing hand-delivered to each of the following
7	this 12 day of October, 2001, to:
8	Christine Cassetta, Assistant Attorney General Sandra Waitt, Management Analyst
9	Lynda Mottram, Compliance Officer Lisa Maxie-Mullins, Legal Coordinator (Investigation File)
10	Arizona Board of Medical Examiners
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